Cancer Screening Model Policy

Rationale

The South Dakota Department of Health’s Cancer Programs wish to partner and support healthcare facilities across the state to improve cancer screening rates and help reduce the burden of cancer in South Dakota.

This policy was created to assist healthcare facilities to establish a process for all patients who are eligible for breast, cervical, and colorectal cancer screening. This document includes model policy guidelines, which means that an organization can include some or all of the components. It should be used as a tool for healthcare facilities to establish systems change and institutionalize cancer screening interventions into routine clinical care.

Cancer was the second leading cause of death in South Dakota in 2015, with over 4,400 cancer cases diagnosed and 1,632 deaths. More than half of the cancers diagnosed in South Dakota are female breast, prostate, lung, colorectal, and melanoma cancer. These five primary sites accounted for 56 percent of all cancers diagnosed and 50 percent of all cancer deaths in South Dakota during 2015. This equates to one in four deaths in South Dakota being attributable to cancer. The burden of cancer in South Dakota disproportionately affects certain populations. National statistics show a higher impact on certain racial and ethnic groups. This difference is similarly reflected in South Dakota’s data. The 2011-2015 age-adjusted cancer mortality rate was 157.0/100,000 for whites and 243.7/100,000 for American Indians. A disparity is also found between genders, with the greatest cancer impact on males.¹

In 2008, an estimated 4.1 percent (33,200) of South Dakotans had some form of cancer. The cost of cancer in South Dakota was approximately $377 million for all payers combined. The estimated, average cost per South Dakotan was $11,360. Persons age 65 and older had a majority of the cancer expenditures with $274 million.²

Completing recommended cancer screening tests may find breast, cervical, and colorectal cancers early, when treatment is likely to work best.³ In 2012, 74.2 percent of white females ages 40 and older, in South Dakota, had received a mammogram within the past two years compared to 67.4 percent of American Indian females. In 2012, 87.1 percent of white females, ages 21-65, had completed a Pap test within the past three years. This percentage was lower for American Indian females (83.7 percent). A greater disparity between whites and American Indians exists for colorectal cancer screening. Only 42.7 percent of American Indians, ages 50-75, were up-to-date with recommended screening compared to 63.8 percent of whites.⁴
All of these rates fall short of the South Dakota Comprehensive Cancer Control State Plan 2020 goals for breast, cervical and colorectal cancer screening:

- Increase the percentage of adults ages 50-75 in South Dakota up-to-date with recommended colorectal cancer screening from 62.5% to 80% by 2020.
- Increase the percentage of women ages 40 and older in South Dakota who have had a mammogram in the past two years from 73.5% to 81% by 2020.
- Increase the percentage of women ages 21 to 65 in South Dakota who have received a Pap test within the past three years from 86.7% to 95% by 2020.

Provider recommendations are critical in cancer screening

The advice of a doctor to complete cancer screening has a well-documented, demonstrated positive effect, specifically for breast, cervical and colorectal cancer screening. According to Sarfaty, “The magnitude of a clinician’s impact is considerable: State surveys have shown that 90 percent of people who reported a physician recommendation for CRC testing were screened vs. 17 percent of those who reported no provider recommendation, and 72 percent of those whose physician recommended a stool blood test completed it vs. 8 percent of those whose physician had not.”

Model Policy for Implementation

Early detection and screening are the best ways to prevent or find cancer in the earliest stages when treatment often leads to a cure. The first step to creating a healthcare facility that supports cancer screening for all patients is to utilize this model policy in its entirety or adapt this model policy to support patient screening. A Cancer Screening Policy can include a few or all of the following guidelines:

1. [Healthcare facility] is committed to increasing cancer screening and working toward the South Dakota Comprehensive Cancer Control Plan 2020 goals (listed above) for cervical cancer [and/or] breast cancer [and/or] colorectal cancer.
2. [Healthcare facility] is committed to providing its healthcare providers, nurses, therapists and other staff with evidence-based information and education that they need to integrate cancer screening into their practice.
3. [Healthcare facility] is committed to providing its patients with evidence-based education and motivational information that they need to make decisions about screening.
4. [Healthcare facility] is committed to implementing evidence-based interventions to help ensure that the screening process does not break down, resulting in missed opportunities to screen people. [Healthcare facility] will utilize screening reminder systems for healthcare providers and patients.
5. [Healthcare facility] is committed to providing test results to patients, appropriate follow-up for abnormal results, and diagnostic and treatment resolution.

Colorectal Cancer

1. [Healthcare facility] will offer colorectal cancer screening to patients ages 50 to 75 who are at average risk and asymptomatic of colorectal cancer.
2. [Healthcare facility] encourages providers to offer multiple options so that screening is completed. [Healthcare facility] will offer U.S. Preventive Services Task Force (USPSTF) recommended screenings which include:
   - Colonoscopy every 10 years; or
   - High sensitivity fecal occult blood test (FOBT) or fecal immunochemical test (FIT) annually; or
   - Flexible sigmoidoscopy every five years combined with high-sensitivity FOBT or FIT every three years.

3. [Healthcare facility] will assess patient risk and will recommend individuals at a high or increased risk of colorectal cancer be screened earlier and/or more frequently. The following conditions increase a patient’s risk:
   - Personal history of colorectal cancer or adenomatous polyps
   - Personal history of inflammatory bowel disease (ulcerative colitis or Crohn’s disease)
   - Strong family history of colorectal cancer or polyps
   - Known family history of hereditary colorectal cancer syndrome such as familial adenomatous polyposis (FAP) or hereditary non-polyposis colon cancer (HNPPCC)

4. [Healthcare facility] discourages the use of single sample guaiac FOBT obtained during digital rectal exam as a primary screening for colorectal cancer.

Cervical Cancer
1. [Healthcare facility] will screen average risk, asymptomatic women ages 21 to 65 for cervical cancer according to the USPSTF recommended tests at the following intervals:
   - Cytology (Pap smear) every three years
   - For women ages 30 to 65 who want to lengthen the screening interval, screening with a combination of cytology and human papillomavirus (HPV) testing every five years.

Breast Cancer
1. [Healthcare facility] will assess female patient risk for breast cancer. Female patients at average risk will be offered breast cancer screening based on either the USPSTF recommendations or the American Cancer Society recommendations. (See below the recommendations that are most appropriate for the healthcare facility’s patients.)

USPSTF Recommendations:
   - Biennial screening mammography for women ages 50 – 74.

American Cancer Society Recommendations:
   - Annual mammography for women ages 40 and older
   - Female patients in their 20s and 30s will have a clinical breast examination as part of a periodic health examination, preferably at least every three years.
   - Asymptomatic women ages 40 and older will continue to receive a clinical breast examination as part of a periodic health examination.
   - Female patients at increased risk of breast cancer will be consulted on screening strategies, such as earlier initiation of screening, shorter screening intervals, or additional screening modalities, such as ultrasound or magnetic resonance imaging.
Implementation

These guidelines from the American Cancer Society focus on systems change within the healthcare facility. The information below provides suggestions for implementing this policy and increasing screening rates.

- Establish a diverse team representing all relevant roles within the clinic to create momentum and measured outcomes.
- Have the team assess data to determine current screening status, develop goals and objectives, conduct quality improvement cycles, and evaluate and measure impact.
- Recognize the need for established systems to ensure all eligible patients receive a cancer screening recommendation.

1. **Make a Recommendation**
   Evidence shows a provider recommendation is the most influential factor in a patient’s decision to be screened. Reviewing family and personal medical history provides insight to determine the appropriate screening recommendation and overall health care. Engaging patients in the decision by providing education on multiple choices from the recommended screening guidelines increases patient compliance. Appropriate documentation decreases potential duplication of testing and ensures additional diagnostic evaluation is conducted through referral or follow-up based on test results.

2. **Develop a Screening Policy**
   A standard course of action established within a clinic improves screening consistency and promotes screening as a priority within the clinic.

3. **Be Persistent with Reminders**
   Reminders are evidence-based and proven to be effective when directed at patients, providers or both. Evidence indicates patient compliance increases with the use of routine phone calls and direct mail reminders for appointments. Methods to remind and encourage providers to engage patients in conversations regarding cancer screening includes chart prompts, electronic medical record alerts, and routine assessment and feedback of provider performance regarding screening compliance.

4. **Measure Progress**
   Data provides objective and relevant insights to determine progress and impact. As the practice continues to improve upon existing evidence-based interventions; taking time to monitor progress and prioritize quality improvement efforts will have positive results. Reviewing patient demographics to consider target and disparate populations also ensures appropriate interventions and tools are being used to reach the screening goals. Data collection to establish a baseline for future comparison is essential for measuring the effectiveness of quality improvement activities. Regular progress reporting will lead to the development of best practices to improve patient outcomes and staff efficiency.

See Appendix A for work flow examples to assist healthcare facility staff to implement this model policy.
Compliance

Use the above policy language as a guide for your clinic or hospital. Implementing a policy that will fit your facility and benefit your patients is the overall goal and policy compliance should be considered for this purpose. Your quality improvement committee may be the perfect group to ensure this policy will remain a priority.

Final Statement

By implementing this model policy in its entirety or choosing to tailor this policy to your facility’s needs, you are taking a great first step in improving cancer screening for your patients.

Definition of Terms

- **Colorectal Cancer:** Cancer of the colon or rectum.
- **Colonoscopy:** A procedure where the doctor uses a long, thin, flexible, lighted tube to check for polyps or cancer inside the rectum and the entire colon. During the test, the doctor can find and remove most polyps and some cancers. Colonoscopy also is used as a follow-up test if anything unusual is found during one of the other screening tests.
- **Sigmoidoscopy:** A procedure where the doctor puts a short, thin, flexible, lighted tube into the rectum. The doctor checks for polyps or cancer inside the rectum and lower third of the colon.
- **Mammography:** X-ray of the breast used to look for signs of breast cancer.
Resources

Common Sense Colorectal Cancer Screening Recommendations at a Glance

Comparison of Cervical Cancer Guidelines

How to Increase Colorectal Cancer Screening Rates in Practice: A Primary Care Clinician’s Evidence-Based Toolbox and Guide

What Works: Evidence-Based Interventions for your Community

Steps for Increasing Colorectal Cancer Screening Rates: A Manual for Community Health Centers

References:


1. How are patients identified for screening?

2. How are patients reached with screening reminder? Appointment reminders? Recall/overdue reminders? Who is responsible to execute?

3. At visit check-in, does front line staff remind patient of screening?

4. Are patient records flagged to remind providers of need to recommend screening? Are EMR alerts turned on? Do staff routinely take action on the alerts?

5. Which staff member(s) is responsible for making the recommendation to get screened?

6. Do you use a cancer risk assessment? Who completes? Is family history taken?

7. Are multiple screening options presented to the patient? What patient education occurs? Are patient education materials provided?

8. Who orders the test? Are there standing orders?

9. How is patient provided with the test kit or test date? Is distribution tracked? How?

10. For FOBT Screening: To where do patients return test kit? Is kit processed in house? Out of house by lab? What is processing time?

11. For FOBT Screening: How are patients reminded to return kits? What is the frequency of reminders to complete (30/60 days)?

12. For FOBT Screening: How is returned kit documented? Who documents? In EMR?

13. How are patients informed of results? Negative results? Positive results? Within what time frame of processing?

14. Are there formal referral relationships in place with specialists? Hospitals? Different for insured vs. uninsured patients?

15. Who schedules follow up appointments for positive findings?

16. How are patients reminded of diagnostic screening? When (# of days)?

17. How do you verify if patient completes a diagnostic screening?

18. Who communicates diagnostic results to patient? Phone or letter? Within what timeframe?

19. How are diagnostic results communicated back to clinic? Are they captured in EMR? Is it a data field that can be collectively captured in a report?

20. Who refers/schedules appointments with specialists if cancer found?

21. If cancer is found, who confirms that patient has appropriate continued medical care?
Appendix A

Overview of the Screening Process

Step #1 Make a Plan

- Determine Baseline Screening Rates.
  - Identify patients due for screening.
  - Identify patients who received screening.
  - Calculate the baseline screening rate.
  - Improve the accuracy of the baseline screening rate.

- Design Your Practice’s Screening Strategy.
  - Choose a screening method.
  - Use a high-sensitivity stool-based test.
  - Understand insurance complexities.
  - Calculate the clinic’s need for colonoscopy.
  - Consider a direct endoscopy referral system.

Step #2 Assemble a Team

- Form an Internal Leadership Team within the CHC.
  - Identify an internal champion.
  - Define roles of internal champion.
  - Utilize patient navigators.
  - Define roles of patient navigators.
  - Agree on team tasks.

- Partner with Colonoscopists.
  - Identify a physician champion.

Step #3 Get Patients Screened

- Prepare the Clinic.
  - Conduct a risk assessment.

- Prepare the Patient.
  - Provide patient education materials.

- Make a Recommendation.
  - Convince reluctant patients to get screened.

- Ensure Quality Screening for a Stool-based Screening Program.

- Track Return Rates and Follow-up.

- Measure and improve Performance.

Step #4 Coordinate Care across the Continuum

- Coordinate Follow-up after a Colonoscopy.
  - Establish a medical neighborhood.

Appendix A

What Works: Cancer Prevention and Control
Evidence-Based Interventions for Your Facility

The Community Preventive Services Task Force has released the following findings on what works in public health to increase breast, cervical, and colorectal cancer screening rates. These findings are compiled in the Guide to Community Preventive Services and listed in the table below. Use the findings to identify strategies and interventions you could use for your facility.

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